

## SENDER: COMPLETE THIS SECTION

- Complete items 1, 2, and 3.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to: *SPECIAL MASTER*  
*MADOFF VICTIM FUND*  
*P.O. Box 6116*  
*SYRACUSE NY 13217-6310*  
*CLAIM NO: 7070192*



9590 9402 1440 5329 0415 28

2. Article Number (Transfer from service label)

7016 1370 0002 3989 5272

## COMPLETE THIS SECTION ON DELIVERY

A. Signature

X

- ☐ Agent  
☐ Addressee

B. Received by (Printed Name)

C. Date of Delivery

D. Is delivery address different from item 1? ☐ Yes  
 If YES, enter delivery address below: ☐ No

## 3. Service Type

- ☐ Adult Signature  
☐ Adult Signature Restricted Delivery  
☒ Certified Mail®  
☐ Certified Mail Restricted Delivery  
☐ Collect on Delivery  
☐ Collect on Delivery Restricted Delivery  
☐ Insured Mail  
☐ Insured Mail Restricted Delivery (over \$500)
- ☐ Priority Mail Express®  
☐ Registered Mail™  
☐ Registered Mail Restricted Delivery  
☐ Return Receipt for Merchandise  
☐ Signature Confirmation™  
☐ Signature Confirmation Restricted Delivery

PS Form 3811, July 2015 PSN 7530-02-000-9053

Domestic Return Receipt

## SENDER: COMPLETE THIS SECTION

- Complete items 1, 2, and 3.
- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to: *C.C. TO*  
*KEITH R. MURPHY*  
*BAKER & HOSTETLER LLP*  
*45 ROCKEFELLER PLAZA*  
*NEW YORK, NY 10111-0100*



9590 9402 1440 5329 0414 81

2. Article Number (Transfer from service label)

## COMPLETE THIS SECTION ON DELIVERY

A. Signature

X

- ☐ Agent  
☐ Addressee

B. Received by (Printed Name)

C. Date of Delivery

D. Is delivery address different from item 1? ☐ Yes  
 If YES, enter delivery address below: ☐ No

## 3. Service Type

- ☐ Adult Signature  
☐ Adult Signature Restricted Delivery  
☐ Certified Mail®  
☐ Certified Mail Restricted Delivery  
☐ Collect on Delivery  
☐ Collect on Delivery Restricted Delivery  
☐ Insured Mail  
☐ Insured Mail Restricted Delivery (over \$500)
- ☐ Priority Mail Express®  
☒ Registered Mail™  
☐ Registered Mail Restricted Delivery  
☐ Return Receipt for Merchandise  
☐ Signature Confirmation™  
☐ Signature Confirmation Restricted Delivery

PS Form 3811, July 2015 PSN 7530-02-000-9053

Domestic Return Receipt

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- Print your name and address on the reverse so that we can return the card to you.
- Attach this card to the back of the mailpiece, or on the front if space permits.

1. Article Addressed to: *(C.C.) TO:*  
*U.S. BANKRUPTCY COURT*  
*SOUTHERN DISTRICT OF N.Y.*  
*ONE BOWLING GREEN*  
*NEW YORK, N.Y. 10004*



9590 9402 1440 5329 0414 74

2. Article Number (Transfer from service label)

## COMPLETE THIS SECTION ON DELIVERY

A. Signature

X

- ☐ Agent  
☐ Addressee

B. Received by (Printed Name)

C. Date of Delivery

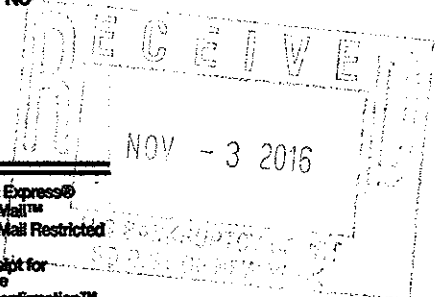
D. Is delivery address different from item 1? ☐ Yes  
 If YES, enter delivery address below: ☐ No

## 3. Service Type

- ☐ Adult Signature  
☐ Adult Signature Restricted Delivery  
☒ Certified Mail®  
☐ Certified Mail Restricted Delivery  
☐ Collect on Delivery  
☐ Collect on Delivery Restricted Delivery  
☐ Insured Mail  
☐ Insured Mail Restricted Delivery (over \$500)
- ☐ Priority Mail Express®  
☐ Registered Mail™  
☐ Registered Mail Restricted Delivery  
☐ Return Receipt for Merchandise  
☐ Signature Confirmation™  
☐ Signature Confirmation Restricted Delivery

PS Form 3811, July 2015 PSN 7530-02-000-9053

Domestic Return Receipt



Registered No.

Date Stamp

Registered No.

Date Stamp

To Be Completed By Post Office	Postage \$	Extra Services & Fees (continued)	Domestic Insurance up to \$50,000 is included based upon the declared value. International Indemnity is limited. (See Reverse).
	Extra Services & Fees	<input type="checkbox"/> Signature Confirmation	
	<input type="checkbox"/> Registered Mail \$	\$	
	<input type="checkbox"/> Return Receipt (hardcopy) \$	<input type="checkbox"/> Signature Confirmation Restricted Delivery	
	<input type="checkbox"/> Return Receipt (electronic) \$	\$	
<input type="checkbox"/> Restricted Delivery \$	Total Postage & Fees	\$	
Customer Must Declare Full Value		Received by	
\$			

OFFICIAL USE

To Be Completed By Customer (Please Print) All Entries Must Be in Ballpoint or Typed	FROM	LAMARELLI (TRUST) 7070192 1372 FERN LAKE AVE BREA CA 92821 (C.C.) TO:
	TO	KEITH MURPHY (D) 212 589 4615 BAKER & HOSTETTER LLP 45 ROCKEFELLER PLAZA NEW YORK N.Y. 10111-0100

PS Form 3806, Registered Mail Receipt

April 2015, PSN 7530-02-000-9051

Copy 1 - Customer

(See Information on Reverse)

For domestic delivery information, visit our website at [www.usps.com](http://www.usps.com)

To Be Completed By Post Office	Postage \$	Extra Services & Fees (continued)	Domestic Insurance up to \$50,000 is included based upon the declared value. International Indemnity is limited. (See Reverse).
	Extra Services & Fees	<input type="checkbox"/> Signature Confirmation	
	<input type="checkbox"/> Registered Mail \$	\$	
	<input type="checkbox"/> Return Receipt (hardcopy) \$	<input type="checkbox"/> Signature Confirmation Restricted Delivery	
	<input type="checkbox"/> Return Receipt (electronic) \$	\$	
<input type="checkbox"/> Restricted Delivery \$	Total Postage & Fees	\$	
Customer Must Declare Full Value		Received by	
\$			

OFFICIAL USE

To Be Completed By Customer (Please Print) All Entries Must Be in Ballpoint or Typed	FROM	LAMARELLI (TRUST) IND. 7070192 1372 FERN LAKE AVE BREA, CA 92821 (PRIMARY) SPECIAL MASTER
	TO	MADOFF VICTIM FUND (7070192) P.O. Box 6116 SYRACUSE NY 13217-6310 T 866.624.3670

PS Form 3806, Registered Mail Receipt

April 2015, PSN 7530-02-000-9051

Copy 1 - Customer

(See Information on Reverse)

For domestic delivery information, visit our website at [www.usps.com](http://www.usps.com)



**Madoff Victim Fund**  
P.O. Box 6310  
Syracuse, NY 13217-6310



**Lamar Ellis Trust**  
**1372 Fern Lake Avenue**  
**Brea, California 92821**

Claim Number: **7070192**

**DATE**  
September 29, 2016

**DEADLINE**  
November 13, 2016

## **CLAIM DEFICIENCY NOTICE**

Dear Claimant:

The Madoff Victim Fund ("MVF") has reviewed the Claim you filed (or the Claim filed on your behalf) relating to losses you suffered in the fraud at Madoff Securities. This notice is to advise you that the Claim is **INCOMPLETE** because it is missing required information or documentation. This Notice sets forth the information that is missing, and that must be provided in order to complete your claim.

To assist you with your Claim, the following pages:

- show all transactions reported in the Claim;
- describe what information is missing; and
- provide instructions on how to complete your Claim.

**This is your last chance to supplement the information you have previously provided as part of your claim before MVF makes a recommendation to the Department of Justice ("DOJ") regarding the disposition of your Claim.** Failure to submit the required documents or information by the deadline shown above is likely to result in the denial of your claim in whole or in part.

Please reference the Claim Number on all correspondence you send to the MVF. If you need further assistance after reviewing this Notice, please contact the MVF customer service department by e-mail at [info@madoffvictimfund.com](mailto:info@madoffvictimfund.com) or by telephone at (866) 624-3670.

Sincerely,

Madoff Victim Fund

*Lamar Ellis*  
10/26/16

**7070192**  
**Transaction Table**

The Transaction Table below shows the potentially eligible cash-in, cash-out *transactions* listed in your claim. The "Deficiency Code" column identifies any deficiencies that exist for a transaction. If there is not a "Deficiency Code" shown for a particular transaction, then MVF believes that transaction is adequately supported. However, if a transaction includes a "Deficiency Code", that transaction is missing some form of required information.

The codes relevant to your transactions are described in the Deficiency Code Explanation section below. It is your responsibility to provide necessary backup information, and if the deficiencies shown are not addressed, MVF will recommend to DOJ that the Claim be denied or reduced.

Date <sup>1</sup>	Type of Transaction Investment Fund or Account	Claimed Transaction Amount (Local Currency)	Portion of Transaction Allocated to Madoff	Calculated Transaction Amount <sup>2</sup> (USD) <sup>3</sup>	Transaction Description	Deficiency Code
SEPT 2003	AmSouth Money Market				RE: STOLEN	
SEPT 2005	Pioneer Cash RESERVE				SECURITIES UNDER	C15
	NASDAQ				IDENTITY THEFT	
		1,278,000,000	1,278,000,000	more than \$1,278,000,000	DETERRENCE ACT	C15

In addition to any deficiencies shown in the Table above, the box below identifies any deficiencies that apply to your claim as a whole, and not to a specific transaction. An explanation of the claim deficiency is provided in the Deficiency Code Explanation section below. If a claim deficiency is not cured, then no portion of your claim can be recommended for approval.

C15

<sup>1</sup> Any transactions that occurred after 12/11/2008 are not included in the Transaction Table.

<sup>2</sup> The Calculated Transaction Amount reflects adjustments to the Claimed Transaction Amount as a result of the application of certain provisions of the MVF Distribution Plan. If you invested in a fund that allocated a portion of its portfolio to Madoff Securities and a portion to non-Madoff assets, the Calculated Transaction Amount shows the amount of each transaction that was allocated to Madoff Securities. Other adjustments, as explained in the Transaction Description column, may also be reflected in the Calculated Transaction Amount.

<sup>3</sup> If your transactions were not in U.S. dollars (USD), the Calculated Transaction Amount shows the amount of each transaction in USD. Non-USD transactions have been converted to USD at the foreign exchange rate on the date of the transaction.

*Lamar Ellis*  
10/26/16

**Deficiency Code Explanations**

7070192

Code	Name	Instructions
C15	<b>Missing Transactional Data</b> on Dec. 8, 2007 Lamar Ellis Trust was notified by Trustee Park's office that its claim had been denied. However, it granted the Ellis Trust the privilege to contest the denial with the court on 12/21/09. It's believed that the court allowed the Trust to file a claim with Madoff Victims Fund.	The Petition Form you submitted did not include a listing of your cash-in/cash-out transactions showing a direct or indirect investment in Madoff Securities. Periodic position statements are not adequate. In order for MVF to further process your Claim, you should complete the transaction table in the Petition Form to show all of your deposits/purchases and withdrawals/sales/redemptions that occurred prior to December 11, 2008. You must submit documentation to support each transaction listed. Please also submit documentation showing the account balance for your Madoff-related investment(s) on or around December 11, 2008, when Madoff's fraud collapsed.

**Additional Comments:**

The claim you submitted does not contain a Madoff-related investment. Please see Lamar Ellis Trust 2007 NASD complaint with the U.S. S.E.C. and the S.E.C. Aug 20, 2008 response letter to Lamar Ellis of that complaint.

**Please note that the submission of any information in response to this Notice is under penalty of perjury under the laws of the United States of America. You are attesting that any such information or documentation is true, correct and complete to the best of your knowledge. NOTED L.E.**

Lamar Ellis  
10/26/16

MADOFF VICTIM FUND  
P.O. BOX 6310  
SYRACUSE, NY 13217-6310



\*M8A07070192N\*

7070192 - CDN  
Lamar Ellis Trust  
1372 Fern Lake Avenue  
Brea, California 92821

Please return this entire Notice and note claim ID 7070192 on any supplemental documentation.

*Lamar Ellis*  
10/26/10

1/20/14

MADOFF VICTIM FUND  
P.O. BOX 6310  
SYRACUSE, NY 13217-6310

7070192 - CRC  
LAMAR ELLIS TR  
LAMAR ELLIS TTEE CONSERVATOR  
1516 SHIRLEY AVE  
JACKSON, MS 39204 N/A  
Lamar Ellis Trust  
1372 Fern Lake Avenue  
Brea, California 92821

We are writing to confirm that we have received the claim you submitted to the Madoff Victim Fund (MVF) and have assigned it claim number 7070192. Please retain this number and include it in any correspondence or emails you send to the MVF and have it accessible if you call MVF customer service. No further action is required from you at this time. If your address or contact information changes, please inform us immediately so that we have your current information.

*Lamar Ellis*

PETITION FORM IND

For Claims By Indirect Investors

Claim No. 7070192

**MADOFF VICTIM FUND**

Distribution Vehicle for Forfeited Assets

on behalf of the



**UNITED STATES DEPARTMENT OF JUSTICE**

*Submissions to the Madoff Victim Fund must be verified under the penalty of perjury pursuant to 28 U.S.C. § 1746.*

**INSTRUCTIONS**

Form IND is for use by investors who invested with Madoff Securities through an investment in, or purchase of shares from, an intermediate investment entity such as a feeder fund, bank, investment company, family trust or other pooled entity.

The United States Department of Justice ("DOJ") will determine eligibility to make a claim on MVF in its sole discretion.

*Samuel Ellis*



Case No. 8:16-bk-13520-SC

2/15/2014

MADOFF VICTIM FUND  
P.O. BOX 6810  
SYRACUSE, NY 13217-6810

7070192 - CRC  
LAMAR ELLIS TR  
LAMAR ELLIS TREE CONSERVATOR  
1516 SHIRLEY AVE  
JACKSON, MS 39204

We are writing to confirm that we have received the claim you submitted to the Madoff Victim Fund (MVF) and have assigned it claim number 7070192. Please retain this number and include it in any correspondence or emails you send to the MVF and have it accessible if you call MVF customer service. No further action is required from you at this time. If your address or contact information changes, please inform us immediately so that we have your current information.

*Lamar Ellis*  
10/26/16

www.madoffvictimfund.com  
(866) 624-3670

ATTACHMENT

*Cham 76 P&B 01A2*  
**Victim Status**

To qualify for a recovery from the MVF a claimant must have been a "victim" of the crimes that took place involving Madoff Securities. This involves showing that an individual suffered losses when:

- The funds in question were the victim's own money;
- The funds were actually invested in Madoff Securities either directly or through an intermediary; and
- The funds were lost as a direct result of the crimes that took place at Madoff Securities.

The victim is the person who took funds out of any of their other assets and invested them either directly or indirectly with Madoff Securities. Funds may have been withdrawn from an investment or savings account in a financial institution, or they may have come from the sale of other investment assets. The money may also have come out of a 401(k) or other retirement savings vehicle. However, in order to be eligible you must have lost money that belonged to you in the fraud at Madoff Securities.

**Eligibility for Defrauded Investors, not Intermediaries.** MVF will look through issues of formal title to determine whose funds were ultimately lost in the fraud at Madoff Securities. The "ultimate or underlying investors" are generally the persons who will be eligible to recover from MVF, irrespective of how many layers their funds flowed through in reaching Madoff Securities.

Unlike the bankruptcy proceedings, pooled funds themselves are not eligible claimants except to the extent that they lost their own proprietary funds. Similarly, someone who managed funds on behalf of an investor, whether a bank or an investment manager of some other type, is not eligible for a recovery with respect to funds actually belonging to the investor.

Losses on investments in swaps or other derivatives whose value was measured by reference to interests in Madoff Securities, but where funds were never actually invested in Madoff Securities, do NOT qualify for purposes of claims on MVF. The FAQs describe other types of losses that are NOT eligible for a recovery.

**Making an Individual Claim**

Pooled investment vehicles can file a claim on behalf of their investors on Form PV. However, we strongly recommend that individual investors who lost their funds in the fraud should file their own individual claim, rather than relying on any current or former money manager, feeder fund or any other entity to file claims on their behalf. We will integrate the data from any dual filings.

*Samuel Ellis*  
10/26/16

## PETITION FORM IND

Submitted by Indirect Investors

*Please type or print all answers using blue or black ink. Please print carefully and legibly - if we cannot read your information your claim may be rejected.*

## I. Claimant Information

## Primary Claimant

Claimant's (Investor's) Name Lamar Ellis Trust/ Energetic IncClaimant's Email Address dennisalexander261@hotmail.comIf you filed a claim in the bankruptcy proceeding, please enter your claim ID here: 008118

*(The name and email address of the claimant whose funds were lost must be provided, even for investments otherwise held in the name of a nominee.)*

U.S. Tax ID: \_\_\_\_\_ / Lamar Ellis ☒ SSN ☐ EIN

Foreign ID and Country: (if applicable) \_\_\_\_\_

Street Address 1516 Shirley AvenueCity, State/Province, Postal Code Jackson MS 39204Country United StatesDaytime Phone 909-623-2247

Alternate/Cell Phone \_\_\_\_\_

Alternate Contact (if any) Dennis Alexander (501) 612-1208

## Joint Claimant (if any)

Joint Claimant's Name Lamar Ellis Trust/ Energetic INCJoint Claimant's Email Address dennisalexander261@hotmail.comU.S. Tax ID: \_\_\_\_\_ ☐ SSN ☒ EIN

Foreign ID and Country: (if applicable) \_\_\_\_\_

Joint Claimant's Street Address 1372 Fernlake AvenueBrea, CA 92821Daytime Phone 909-623-2247Alternate/Cell Phone 501-612-1208

*Lamar Ellis*  
*10/26/16*

**Information on the Account(s) Through Which You Invested**  
**[Your bank or other firm where you kept your account]**

This Section calls for information about where the account through which you purchased an investment was located. This will generally be your bank or another type of financial firm where you first purchased an investment security that ultimately, through one or more steps, found its way into Madoff Securities. For this section we want to know where you first placed your investment, not all the subsequent steps.

If you placed your investments through more than one bank or other intermediary, please add supplemental sheets and list all such firms and related account numbers.

Name of Firm and branch (if relevant): Deposit Guaranty National Bank Trust

Street Address, City, State/Province, Country, Postal Code where your account was located: \_\_\_\_\_

201 East Capitol Street  
Jackson, MS 39201

Exact Name on your Account: (Enter the name which appears on your account statements)

Lamar Ellis TEE / Lamar Ellis Revocable Trust

Your Account Number: (If relevant, it should match your backup documents)

Gc

Name of Contact Person at Financial Institution: (Person Familiar With Your Account, if known)

Ann Coleman / Hugh W. Latimer / Clarence Johnson III

Institution or Contact Person Daytime Phone: Institution is now defunct

Institution or Contact Person Email Address: \_\_\_\_\_

**IF YOU DID NOT USE A BANK OR FINANCIAL INSTITUTION FOR YOUR PURCHASE OF A MADOFF-RELATED SECURITY OR INVESTMENT, TELL US THE FIRST LOCATION WHERE YOU SENT YOUR FUNDS.**

For example, if you mailed or wired funds directly to a feeder fund, investment partnership, mutual fund, family partnership or other entity of this kind, please give us the information requested above with respect to that entity and identify the entity as completely as possible.

*Lamar Ellis*  
*10/26/10*

## III Investment Products Purchased Pg 13 of 42

[What exactly did you invest in?]

Please list ALL your investments that directly or indirectly resulted in an investment in Madoff Securities.

Name of Security(s) or Other Product(s) you purchased, or were purchased on your behalf:

*For example, did you buy shares in a traded feeder fund, or buy units in an investment fund of some type?  
Please tell us what instrument(s) you purchased or invested in, and who you bought it from.*

List below each separate investment product you purchased relating to Madoff Securities:

These are the securities I was told my assets were invested in:

If not already identified, where were these products held in custody during your ownership?

Deposit Guaranty National Bank Trust Department

201 East Capitol Street

Jackson, Mississippi 39201

Ultimately, we need to understand the path your investment took in flowing to Madoff Securities. If you are uncertain, or if the form does not exactly match your situation, please list as many steps as you know concerning your Madoff investments and we will review it.

If you provide information in the wrong sections, it will not invalidate your claim.

For any question, please attach however many supplemental sheets you need to explain your situation.

Lamar Ellis  
10/26/10

#### Account Documentation

Please provide documentation detailing the investment you made, and the feeder fund or other entity in which you invested. Please provide a copy of any key documents describing your investment, such as a partnership agreement, offering memorandum, account agreement or sale confirmations.

We need to see documentation showing the date and the amount of each purchase of a Madoff-related investment, each deposit in an account that was transferred in whole or in part to Madoff Securities, and each withdrawal from any such investment or account. Please also provide a copy of the last statement you received before or at the time Madoff Securities collapsed, which includes your Madoff-related investment.

Documentary proof of transactional information can include statements you received from the pooled investment vehicle and/or custodial bank, trade confirmations, wire transfer confirmations, cancelled checks, receipts, letters from fund administrators or investment advisors (signed and on company letterhead), etc. To evaluate your claim MVF has to be able to trace the flow of your investments in and out of the pooled investment vehicle, and ultimately in and out of Madoff Securities.

*Please submit copies and keep the originals.*

#### IV Transactions

Please complete the transaction table below, including every deposit (or purchase of shares) and withdrawal (or sale of shares) in any intermediary pooled investment vehicle that ultimately resulted in your funds being invested in Madoff Securities. Please enter the full amount of each transaction.

For funds that held investments in addition to those related to Madoff, please give us the percentage that was allocated to Madoff Securities if you have that information.

If you need additional space, please make copies of the table or download additional pages from our website.

*Your net loss on Madoff-related investments must be computed on a "cash-in, cash-out" basis, disregarding any falsely reported profits (which never existed). For some funds, constantly shifting NAVs or portfolio allocations will complicate tracing the net cash investment in Madoff-related products. However, MVF cannot pay compensation on any basis other than the net cash investment in Madoff Securities that was lost as a direct result of the fraud. Please give us as much information as you have that will show your personal cash deposits and withdrawals, and their allocation if less than 100% of the fund's portfolio consisted of interests in Madoff Securities.*

*Lamar Ellis*  
*10/26/16*





**V Secondary Pooled Investment Vehicles**

If any of your investments detailed in the table above flowed through more than one intermediary entity before it was invested in a Madoff Securities account, please identify each such intermediary of which you are aware. For example, if you invested in Fund A and it in turn invested in Fund B, which invested in Fund C, then give us as much information as you have on where your funds went in each subsequent step after the first account (already listed in section II).

Deposit Guaranty National Bank, AM South Investments,

Pioneer Investments Services, Regions National Bank,

University of California @ Irvine

**VI Tax-Deferred Accounts (For U.S. investors only)**

If an investment in Madoff Securities was made through an individual tax-deferred account, such as a 401(k), 403(b) or IRA account, please provide the name, phone number and account number for the institution in which the investor's tax-deferred account is currently held.

Financial Institution \_\_\_\_\_

Account Number \_\_\_\_\_

**VII Other Madoff Investments**

Did you at any time have any investments in Madoff Securities that are not shown on this Form?

This question requires you to disclose investments made directly in a Madoff Securities account and any additional indirect investments that ended up in a Madoff Securities account.

- ☒ No  
☐ Yes

If Yes, all investments, whether or not they resulted in a net loss, must be fully disclosed. You may make copies of blank pages of this Form to report the investments and attach the pages to the Form, or submit a separate claim form for each investment.

*Lamar Ellis*  
10/26/16



Case No. 08-1789 (BLM)

**BERNARD L. MADOFF INVESTMENT SECURITIES LLC**

In Liquidation

**DECEMBER 11, 2008<sup>1</sup>**

**NOTICE OF TRUSTEE'S DETERMINATION OF CLAIM**

December 8, 2009

Lamar Ellis Trust  
1372 Fern Lake Avenue  
Brea, California 92821

Dear Lamar Ellis Trust:

**PLEASE READ THIS NOTICE CAREFULLY.**

The liquidation of the business of BERNARD L. MADOFF INVESTMENT SECURITIES LLC ("BLMIS") is being conducted by Irving H. Picard, Trustee under the Securities Investor Protection Act, 15 U.S.C. § 78aaa *et seq.* ("SIPA"), pursuant to an order entered on December 15, 2008 by the United States District Court for the Southern District of New York.

The Trustee has made the following determination regarding your claim designated as Claim No. 008118:

Based on a review of available books and records of BLMIS by the Trustee's staff, you did not have an account with BLMIS. Because you did not have an account, you are not a customer of BLMIS under SIPA as that term is defined at 15 U.S.C. § 78III (2). Accordingly, your Claim for securities and/or a credit balance is **DENIED**.

**PLEASE TAKE NOTICE:** If you disagree with this determination and desire a hearing before Bankruptcy Judge Burton R. Lifland, you **MUST** file your written opposition, setting forth the grounds for your disagreement, referencing Bankruptcy Case No. 08-1789 (BRL) and attaching

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<sup>1</sup> Section 78III(7)(B) of SIPA states that the filing date is "the date on which an application for a protective decree is filed under 78eee(a)(3)," except where the debtor is the subject of a proceeding pending before a United States court "in which a receiver, trustee, or liquidator for such debtor has been appointed and such proceeding was commenced before the date on which such application was filed, the term 'filing date' means the date on which such proceeding was commenced." Section 78III(7)(B). Thus, even though the Application for a protective decree was filed on December 15, 2008, the Filing Date in this action is on December 11, 2008.

**Case No. 08-1789 (BLM)**

copies of any documents in support of your position, with the United States Bankruptcy Court and the Trustee within **THIRTY DAYS** after December 8, 2009, the date on which the Trustee mailed this notice.

**PLEASE TAKE FURTHER NOTICE:** If you do not properly and timely file a written opposition, the Trustee's determination with respect to your claim will be deemed confirmed by the Court and binding on you.

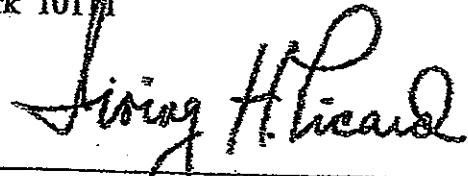
**PLEASE TAKE FURTHER NOTICE:** If you properly and timely file a written opposition, a hearing date for this controversy will be obtained by the Trustee and you will be notified of that hearing date. Your failure to appear personally or through counsel at such hearing will result in the Trustee's determination with respect to your claim being confirmed by the Court and binding on you.

**PLEASE TAKE FURTHER NOTICE:** You must mail your opposition, if any, in accordance with the above procedure, to each of the following addresses:

Clerk of the United States Bankruptcy Court for  
the Southern District of New York  
One Bowling Green  
New York, New York 10004

and

Irving H. Picard, Trustee  
c/o Baker & Hostetler LLP  
Attn: Claims Department  
45 Rockefeller Plaza  
New York, New York 10111



Irving H. Picard  
Trustee for the Liquidation of the Business of  
Bernard L. Madoff Investment Securities LLC



Name and  
Address

DOLORES WILLIAMS & LAMAR ELLIS  
1852 E. HOLLY OAK DR.  
WEST COVINA, CA. 91791

Taxpayer Identification Number:

Under the authority in section 6331 of the Internal Revenue Code, and by virtue of a levy from the District Director of Internal Revenue of the district shown below, I have seized the property below for nonpayment of past due internal revenue taxes.

Due from	Amount	Internal Revenue District (City and State)
LAMAR ELLIS	\$ 97,753,329.14	LOS ANGELES, CA.

Description of property

No. 000707 - Debt Obligation Bearer Certificates of Deposit  
Face Amount \$10,000,000.00

No. 000708 - " " "  
No. 000719 - " " "  
No. 000720 - " " "  
No. 000722 - " " "  
No. 000723 - " " "  
No. 000725 - " " "  
No. 000726 - " " "  
No. 000728 - " " "  
No. 000731 - " " "

Lamelli LTD Partnership (Trust) Gift Certificates  
in the face amount of \$10,000.00 each:

No. 0038, 0026 thru 0037  
0051 thru 0075  
0076 thru 0099  
0101 thru 0125

I LAMAR ELLIS WAIVE THE 30 day waiting period for levy per IRM 6331(d)

SIGNED

DATED

10-23-95

SOCIAL SECURITY NUMBER

Lamar Ellis  
10/26/16

**DEPOSIT GUARANTY NATIONAL BANK**



May 4, 1995

One Deposit Guaranty Plaza  
Post Office Box 1200  
Jackson, Mississippi 39215-1200  
Phone 601 354-6586  
Telex 601 950-4817

Hugh W. Latimer  
Senior Vice President  
Trust Officer

Dr. Lamar Ellis  
1516 Everett Avenue  
Jackson, Mississippi 39204

RE: Energetic Psychoanalytic Institute and Training School  
Agency Account, number

Dear Dr. Ellis:

It was good to meet you when you and Mr. Latimer stopped by the office this week. We appreciate and thank you for choosing Deposit Guaranty National Bank to serve your needs in regards to this account.

Even though the agency agreement allows for other services, it is our understanding that our only duty, until further directed, is to hold and safekeep the following items.

<u>Shares</u>	<u>Description</u>
99,000,000.00	Lamelli, (Ltd.) Limited Partnership, #12
400,000,000.00	Lamelli Ltd. Bearer Certificates of Deposit Debt Obligation, No's 660,662,663,665,666,668, 669,671,672,674,656,759,761,762,764,767,770, 791,794,797,707,708,719,720,722,723,725,726, 728,731,734,737,738,740,743,746,747,755,756, and 758 @ \$10,000,000.00 each

Every six months you will receive a statement which will indicate the securities held in this fund.

IN WITNESS WHEREOF, said parties have hereunto executed this  
Agreement on this the 4 day of May, 1995.

DEPOSIT GUARANTY NATIONAL BANK

ENERGETIC PSYCHOANALYTIC  
INSTITUTE AND TRAINING SCHOOL

BY: *Hugh W. Latimer*  
Hugh W. Latimer  
Senior Vice President  
& Trust Officer

BY: *Lamar Ellis*  
LAMAR ELLIS, M.D.  
COORDINATOR

*Cham No. 7070192***DEPARTMENT OF THE TREASURY**

INTERNAL REVENUE SERVICE

9350 Flair Dr., 2<sup>nd</sup> Floor

El Monte, CA 91731

**TAX EXEMPT AND  
GOVERNMENT ENTITIES  
DIVISION**

February 18, 2004

Energetic Psychoanalytic Institute and Training School  
Dr. Lamar Ellis, President  
218 Lincoln Ave.  
Pomona, CA 91767

Dear Dr. Ellis,

I received your letter dated February 17, 2004. The body of the letter reads as follows:

Energetic is in receipt of form 4564, I, Lamar Ellis (current Fiduciary) of Energetic, has filed a law-suit on behalf of Energetic against several defendants, who may have "plundered" its assets. I am enclosing copies of the court filings, and IRS Form 4684 for your office review, at this time, and until this matter is closed with the court, Energetic does not wish to supply information to your dept., that may harm its chances of achieving success in the court.

You attached 4 pages from various court filings with Superior Court, Los Angeles County in Pomona. One page was the cover page of the initial filing accepted by the court on December 16, 2003, case number KC 043397 H. The other 3 pages were extracted from the amendments filed on February 9, 2004. One of the pages contains the following statement:

... alleges as follows: Defendants extorted \$1,347 billion of plaintiffs \$6,133 billion. Plaintiff's plan to seek recovery through legal means with the assistance of the U.S. Treasury Department, (IRS). All defendants involved were also reported to the (IRS) as a matter of compliance on September 30, 2003.

In light of the new information, I am requesting the following information, in addition to the ones listed on the Information Document Request (IDR) #02.

1. A complete copy of the court document filed on December 16, 2003
2. A complete copy of the court document filed on February 9, 2004
3. Complete copies of all other filings related to the case number KC 043397 H

*Lamar Ellis 10/26/16**(12)*

*Claim No. 7070/92*

Energetic Psychoanalytic Institute and Training  
February 18, 2004  
Page 2 of 2

4. Name and phone number of the individual/department assisting you within the U.S. Treasury Department, (IRS) as per the quoted allegation noted above.

As for the due date of the requested information, we determined that the Internal Revenue Service is not a party to the above court case. Our request for information and documents to better understand the purposes and activities is a routine process of examining an exempt organization. We are unable to give the unspecified time of extension as your letter is requesting. However, we can give you one additional month to respond to my requests listed in this letter and on IDR #02. The new due date is March 26, 2004.

If we are unable to obtain the necessary information to conduct the examination within a reasonable amount of time, we may proceed with summoning the records in accordance with the authority granted to us by Internal Revenue Code section 7602. We hope this will not be necessary.

If you have any questions, feel free to contact me at (626) 312-3616, extension 5051.

Thank you for your cooperation.

Sincerely,



Palma Leong  
Exempt Organization Specialist  
ID # 95-01978

*Lamar Ellis*  
*10/26/16*

LAMAR ELLIS, Individual (Pro Per)  
218 Lincoln Avenue  
Pomona, California 91767  
(909) 623-2247

Pg 23 of 42

ORIGINAL FILED

FEB 09 2004

Case No. 8:16-bk-13520-SC

LOS ANGELES  
SUPERIOR COURT

SUPERIOR COURT OF THE STATE OF CALIFORNIA FOR THE  
COUNTY OF LOS ANGELES, EAST DISTRICT, EAST-POMONA COURTHOUSE

LAMAR ELLIS, an Individual;

Plaintiff,

vs.

UNIVERSITY OF CALIFORNIA IRVINE, et  
al., with defendants separate and apart; DOES  
1 through 60 Inclusive.

Defendant

Case No.: KC 043397 H

Assigned For All Purposes to:

Judge Bruce Minto, Dept. H

1<sup>st</sup> AMENDED COMPLAINT; PLAINTIFF(S) SEEKS

\$1,347 BILLION DAMAGES; CAUSE OF ACTION

WITH SEVERAL SUBCAUSES (982.1 CAUSE OF  
ACTION/Common Counts)

I - 1998 FEDERAL IDENTITY THEFT

DETERRENCE ACT, AND CALIFORNIA

IDENTITY THEFT ACT UNDER PENAL CODE

§530.7

SUB CASES:

A) DEFAMATION OF CHARACTER

B) THREAT OF BODILY HARM

C) EXTORTION

D) BREACH OF CONTRACT

E) EMBEZZLEMENT

F) HYPOTHECATION

G) CONSPIRACY TO FRAUD

H) MENTAL DISTRESS RECKLESS

OR INTENTIONAL

I) ATTORNEY WRONGFULLY

DISMISSING HIMSELF WITHOUT

NOTICE

J) ELDER ABUSE

COMES NOW Plaintiffs: Lamar Ellis, Individual; Lamar Ellis, Trust and Energetic Institute and  
alleges as follows: Defendants extorted \$1,347 billion of plaintiffs \$6,133 billion. Plaintiff's plan to seek  
recovery through legal means with the assistance of the U.S. Treasury Department, (IRS). All  
defendants involved were also reported to the (IRS) as a matter of compliance on September 30, 2003.

**ALLEGATIONS COMMON TO ALL CAUSES OF ACTIONS**

1. At all times mentioned herein, plaintiffs are and now is a competent adult residing in the  
City of Pomona, California.

2. Plaintiffs are informed and believe and thereupon allege that defendant U. C. Irvine  
(hereinafter) referred to as UCI et al.) is an at all times herein mentioned as a campus of the University

Amendment to Complaint - 1

ATTACHMENT:

*Lamar Ellis*  
10/26/16

# The Superior Court

East District - Pomona Court North

Case No.: 8:16-bk-13520-SC  
Chapter 7

JOHN A. CLARKE  
EXECUTIVE OFFICER/CLERK

350 W. MISSION BOULEVARD • POMONA • CALIFORNIA 91768-1607

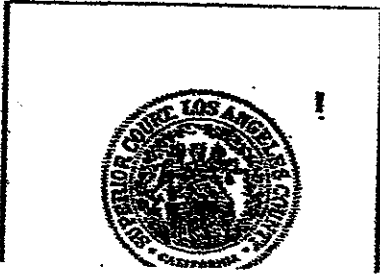
## CERTIFICATION - NO RECORD

I certify that a thorough search of the Misdemeanor and Felony files and/or indices from the period of 1986 to present has been made and I find:

1. X no record.
2. \_\_\_ case has been destroyed in reference to:

Name: Lamar Ellis  
AKA: \_\_\_\_\_  
D.O.B: 7-21-33

JOHN A. CLARKE  
EXECUTIVE OFFICER/CLERK



By: [Signature]  
Deputy Clerk

OCT 24 2003

*Lamar Ellis*  
10/26/10



Case No. 02-1789 (BLM)  
Pg 25 of 42

**MISSISSIPPI SECURITIES ACT RULES**

Promulgated  
Pursuant to the  
Mississippi Securities Act

Effective  
February 1, 1993

Secretary of State

State of Mississippi

***Confidential Report***

**GUARANTEED TAX SHELTER BEARER  
CERTIFICATE**

**Lamar Ellis Trust**

Page 2 shows a certificate, dated 09/27/2003, with a face value of \$1,278,000,000, drawn to the order of the Lamar Ellis Trust.

The Cusip indicator        is provided on the certificate; the indicator is in fact the symbol for AmSouth Prime Money Market Class A shares, for which the Cusip is

- AmSouth Prime Money Market Class A shares became Pioneer Cash Reserves Fund shares in September 2005. Trading medium: NASDAQ.

*Lamar Ellis 10/26/16*

(5)

0228351054805  
 IT GUARANTY  
 IT SERVICES  
 SITE

Pg 26 of 42

Case No. 08-1789 (BLM)



ENERGETIC

(AGI) 949 . 931  
 (907) 423 . 224

FMT:

1,278,000,000

ONE MILLION TWO HUNDRED SEVENTY EIGHT MILLION

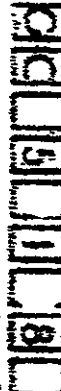
WIDE  
OR

LAMAR ELLIS, TTEE

GUARANTEED TAX SHELTER BEARER CERTIFICATE

ADVISON TO ENERGETIC

I.D. NUMBER



AMSOUTH

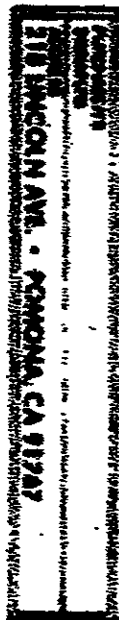
DATE

09/27/03

EACH UNIT AMOUNT \$1,000,000

1278 UNITS TOTAL

DO NOT EXCEED \$1,278,000,000



210 INDIAN AVE. - POMONA, CA 91767

Lamar Ellis  
 10/26/16

*Case No. 08-1789 (BLM)*



**U.S. SECURITIES AND EXCHANGE COMMISSION**  
**ATLANTA REGIONAL OFFICE**  
3475 Lenox Road, N.E. Suite 500  
Atlanta, GA 30326-1232  
404-842-7600 Direct Line  
404-842-5752 Fax

August 20, 2008

Lamar Ellis  
1372 Fernlake Avenue  
Brea, CA 92821

Re: Deposit Guaranty National Bank, AmSouth Bank, Regions Bank, Pioneer Investment  
Funds  
Our File No. ARO-1290755

Dear Mr. Ellis:

Thank you for your information received in this office on August 6, 2008. We apologize for the delay in responding. The information you provided will be carefully considered from the standpoint of our enforcement and regulatory responsibilities under the federal securities laws.

Although the cooperation of the public in furnishing information is very important in our work, the investigations we conduct are usually confidential. This is done to protect the integrity of an investigation from premature disclosure and to protect the personal privacy of persons with respect to whom unfounded charges may be made. Thus, subject to applicable provisions of the Freedom of Information Act, as amended, the existence or non-existence of an investigation is generally not disclosed unless and until made a matter of public record in proceedings instituted before the Commission or in the courts.

May we also point out that we are not authorized to render legal or financial advice, nor may we represent any individual in connection with the assertion of their personal claims or rights or overrule a decision made by the courts. However, you may wish, independent of this office's interest in the matter, to consult with an attorney to explore any remedies, which may be available to you in this matter.

Sincerely,

*Timothy J. Barker*  
Timothy J. Barker  
Senior Accountant

*Lamar Ellis*  
10/26/16

ATTACHMENT

NASD Investor Complaint Form

Case No. 08-1789 (BLM)

Investor protection. Market integrity.



We strongly encourage investors to file their complaint via the online NASD Investor Complaint Center at <http://complaint.nasd.com>. Filing online is the quickest way for us to receive your complaint.

If you prefer to file your complaint offline, you may complete this printable complaint form and fax or mail it to us at the address noted at the end of the form. Please enter your complaint information directly into this form using your computer. Completing the form by hand may delay the processing of your complaint. When completed, please print this form and fax or mail it to us at the address noted on the form.

Please do not send duplicate copies of your complaint by mail or fax if you have submitted your complaint online. Sending multiple copies will only delay the time it takes us to respond to your complaint.

Step 1: Tell Us About the Firm or Individual You Have a Complaint Against

\* = Required Fields

Brokerage Firm Name: \*

Deposit Guaranty National Bank, AMSouth Bank, Regions Bank, Pioneer investments Founds

Salesperson or Firm Representative:

First Name:

Mark

Middle Name:

-

Last Name:

Gobbons

Address of the Office with which you do Business: \*

60 State Street

City:

Boston

State/Province:

Massachusetts

Zip Code:

2109

☐ Check this box if you do not use a salesperson or your salesperson was not involved in the conduct that is the subject of your complaint.

Business Phone:

800-225-6292

Lamar Ellis  
10/26/16

Step 2: Tell Us About Yourself

\* = Required Fields

Case No. 08-1789 (BLM)

Anonymous Complaint: \*

All complaint information will be treated in confidence to the fullest extent possible. NASD, however, cannot guarantee that during the course of a related investigation or possible prosecution of the matter that the source of the complaint will not become known. Unless you provide an e-mail address or telephone number, checking 'Yes' will prevent us from following up with you to gather additional details.

\* ☐ No ☐ Yes - I wish to make an anonymous complaint.

Your Name: \*

Prefix: First Name: Middle Name: Last Name:  
 Lamar  -  Ellis

Mailing Address: \*

Street Address:  
 1372 Fernlake Ave  
City: State/Province: Zip Code:  
 Brea  California  92821  
Country:  
 United States

Work Phone: \*  Home Phone: \*

Enter only one email address.

Fax Number:  225-248-0460 Email Address: \*  Lamelli@verzion.net

Are you active, or have recently been active, in the United States Military? \* ☒ No ☐ Yes

Please select one of the following age ranges: \*

Under 18 ☐  
18 - 29 ☐  
30 - 39 ☐  
40 - 59 ☐  
60 - 85 ☒  
Over 85 ☐  
I do not wish to provide. ☐

Lamar Ellis  
10/26/16

NASD Investor Complaint Form

Pg 30 of 42

Case No. 08-1789 (BLM)

## Step 3: Tell Us About Your Investment

\* = Required Fields

## Security Type: \*

Bond - Corporate

## Security Symbol:

## Security Name:

AMSouth PRmomkt

## Dates of Transaction or Activity: \*

(e.g. 1/15/2003)

## From Date:

09/27/03

## To Date:

08/02/08

## Tell us about your complaint:

What type of problem(s) are you reporting:

## Primary Problem: \*

Unauthorized transactions

## Secondary Problem:

Lost or stolen funds/securities

Amount in dispute: \* \$ 1,278,000,000,000

## Complaint Summary \*

Please provide a brief summary of your complaint. Please limit your description to a few clear sentences within the space available below. *If required, complete details will be gathered later in the complaint process.*

Assets in question were placed into safe keeping with deposit Guaranty National Bank in 1995, it appears that (DGNB) sold itself to other Banks along with Lamar Ellis Trust "Assets". Some of which are trading via NASDAQ- without the trust knowledge or approval since 9/27/05.

## What documentation are you able to provide regarding your complaint?

☒ Trade Confirmation☐ Advertising or Marketing Materials☐ Monthly Account Statement☒ Notes of Conversations with Firm☐ Canceled Checks☐ Subscription Agreement☒ Correspondence to and from Firm☒ Other Anonymously info provided in April 2008

Lamar Ellis  
10/26/14

Com No. 7070192

**Step 4: What actions have you taken?****Firm Contact****Case No. 08-1789 (BLM)**Have you complained to the firm? ☒ Yes ☐ No

If yes, please enter reference number (if available), date of contact, name of contact, and status or resolution within the space available below. If required, complete details will be gathered later in the complaint process.

Naomi Williams (601)968-4627 Regions Bank (no resolution)  
Nancy ARP (800)225-6292 on 06/018/08 (no resolution)  
Casey Plan Pioneer investments.com on 06/17/08 (no resolution)  
Kelly Powers (617)422-4333 on 06/17/08 (no resolution)  
Matt Curran (617)422-4333 on 06/10/08 (no resolution)

**Other Regulatory Contact**Have you contacted any other regulators? ☒ Yes ☐ No

If yes, who?

☐ Securities and Exchange Commission (SEC)☒ State Regulator. Please list states: CA, MS.☐ Commodity Futures Trading Commission (CFTC)☐ New York Stock Exchange (NYSE)☐ Securities Investor Protection Corporation (SIPC)☒ Others U.S. Treasury dept. in 2003**Arbitration**Have you filed an arbitration claim? ☒ Yes ☐ No

If yes, with what Organization(s)?

☐ NASD☐ New York Stock Exchange☐ American Arbitration Association☐ American Stock Exchange☒ Others U.S. Treasury dept. in 2003

If yes, please enter reference number (if available), date of contact, name of contact, and status or resolution within the space available below. If required, complete details will be gathered later in the complaint process.

Date of contact:

w/san bernardino CA

(IRS) office on 09/27/03 as a walk-in

Lamar Ellis  
10/26/16

NASD Investor Complaint Form

Claim No. 7070192

## Legal Action Taken

Case No. 08-1789 (BLM)

Have you taken legal action? ☒ Yes ☐ No

If yes, please enter reference number (if available), date of contact, name of contact, and status or resolution within the space available below. *If required, complete details will be gathered later in the complaint process.*

A Law suit against (60) or more (DOES) pertaining to these missing assets, were filed with Superior Court of the state of California for the County of Los Angeles, East district, East Pomona Court house in December 2003

## Step 5: Send This Completed Form to NASD

Please print the completed form and mail or fax it to:

## NASD Investor Complaint Center

1735 K Street, NW

Washington, DC 20006-1506

Fax: (866) 397-3290

Please do not send duplicate copies of your complaint by mail or fax if you have submitted your complaint online. Sending multiple copies will only delay the time it takes us to respond to your complaint.

In the meantime, we encourage you to read our Complaint Program brochure on our Web site at <http://www.nasd.com/complaint/InvestorBrochure> to learn about how we will handle your complaint and what other steps you may want to take, including arbitration and mediation, to recover any money or securities.

Please be aware that filing a complaint through the Investor Complaint Center is not the same as submitting an arbitration claim online. To start an arbitration or mediation, please visit the Arbitration & Mediation section of NASD's Web site at <http://www.nasd.com/ArbitrationMediation/StartanArbitrationorMediation/index.htm>. For more information about arbitration and mediation, please visit <http://www.nasd.com/ArbitrationMediation/index.htm>.

Ramon Ellis  
10/26/16



*Change to 7070192*

Page 33 of 42

**\*\* TRUSTEE OBLIGATIONS  
TRUST INDENTURE ACT OF 1939**

A Trust Indenture/Deed of Trust is a contractual agreement between a Corporation issuing bonds and a trustee who represents the investor who owns the bonds (generally a bank or trust company). The Trustee agrees to see that the rights of the bond holders are protected. The Trustee checks on payments interest/principal and other covenants of the indenture, if the company fails to live up to its agreement, the trustee must take legal action to protect the bond holder's interest including instituting bankruptcy action under chapter X & XI of the bankruptcy act of 1898 amended. CUSIP = 512995994 SYMBOL = .LMLLI

*Lamar Ellis*  
*10/26/16*

**ENERGETIC FOUNDATION**

Pg 34 of 42

**GUARANTEED  
PASS-THROUGH  
CERTIFICATES**

*Claim No. 7070/92*

A means to capitalize beneficiaries  
such as  
Municipalities  
Universities  
Non-profit Corporations  
Religious organizations membership  
and others

This "bond" deviates from the norm.  
It does not promise a built-in percentage  
of interest, even though this prospectus  
describes such a sample.

This "bond" is considered to be a tax shelter.  
A tax shelter is basically considered to be a  
donation to a 501(c)(3), 501(c)(4), and  
other types of such organizations where as  
the donor is entitled to a tax deduction.  
In other words, when you purchase this tax shelter,  
you are entitled to utilize this tax shelter to  
reduce your tax liability. You are not entitled to  
any receivable interest thereof.

This presentation is currently before  
The Internal Revenue Service  
for final alternative minimum tax amount  
as authorized by Congress.

Consult with your tax consultant before  
purchasing this Instrument from  
Energetic Foundation.

Securities Law Exemption....The certificates are exempt from the registration requirements of the Securities Act of 1933  
amended, and are "exempted securities" within the meaning of the Securities Exchange Act of 1934, as amended.

*Lamon Ellis*  
*10/26/16*

*Claim No. 704092*

STATE OF MISSISSIPPI  
SECRETARY OF STATE



DICK MOLPUS  
SECRETARY OF STATE

POST OFFICE BOX 136  
JACKSON, MS 39205-0136  
(601) 359-6371

Case No. 08-1789 (BLM)

SUSAN ALEXANDER SHANDS  
ASSISTANT SECRETARY OF STATE  
SECURITIES AND BUSINESS SERVICES

May 17, 1995

Dr. Lamar Ellis  
1516 Everett Avenue  
Jackson, MS 39204

Re: **Energetic, Inc.**

Dear Dr. Ellis:

This is in response to your memorandum to this office dated April 28, 1995, in reference to the offering of bearer bonds related to the above entity. The division has reviewed the applicability of the exemption for sale of securities by persons "organized and operated not for profit but exclusively for... educational and or charitable purposes."

Based upon representations and statements contained in your letter concerning the proposed transactions, the division would not take exception to availability of § 75-71-201(9) of the Mississippi Code Annotated, under the following qualifying conditions and in the interest of the public:

- 1) The articles of incorporation filed by Energetic be amended to permit sale of "securities."
- 2) Adequate disclosure be provided to investors as it relates to an offering circular or equivalent document.

This interpretation is premised upon the information, statements, and representations as set forth in your memorandum of April 28, 1995, and should not be relied upon for any other set of facts.

It should also be noted that this position is intended to relate to relevant registration requirements under the "Act" only and is not intended to apply directly to the anti-fraud and related civil or criminal provisions contained therein.

Furthermore, this interpretation is based upon applicability of the designated Mississippi statute(s) only and is not intended as an interpretation of related statutes from other jurisdictions that being state or federal.

*Lamar Ellis*  
*10/26/16*

*Claim No. 7070/92*

Dr. Ellis  
May 17, 1995  
Page Two

Case No. 08-1789 (BLM)

This opinion is rendered solely to the addressee herein with the request for such opinion by the addressee and should not be relied upon by any other person for any other purpose without prior written consent.

This opinion is furthermore intended solely as an expression of enforcement policy and is not to be construed or interpreted as a formal approval or legal conclusion binding on any other parties or tribunals.

Sincerely,

*Bruce C. Harris*

Bruce C. Harris  
Staff Attorney  
Securities Division

BH:pc

cc: Tammy Harthcock  
Senior Attorney

Connie Booker  
Examiner

*Lamar Ellis*  
*10/26/16*

**BILL LOCKYER**  
State of California  
Attorney General

DEPARTMENT OF JUSTICE

Pg 37 of 42

Case No. 8:16-bk-13520-SC



BUREAU OF CRIMINAL INFORMATION AND ANALYSIS  
P.O. BOX 903387  
SACRAMENTO, CA 94203-3870

Facsimile: (916) 227-2792  
(916) 227-3263

November 24, 2003

Office of the Attorney General  
30 E Broad St., 17<sup>th</sup> Floor  
Columbus, OH 43215

RE: Identity Theft Notification

Attn.: Office of the Attorney General

Pursuant to section 530.7 of the California Penal Code, we are notifying you that:

Name Lamar Ellis

Address 218 Lincoln Avenue

Pomona, CA 91767

Social Security Number \_\_\_\_\_

Drivers License Number \_\_\_\_\_

has been registered with the California Department of justice as a victim of identity theft. This victim was certified as a victim of identity theft by the Superior Court, Pomona, Los Angeles county court. As specified by the aforementioned law, this certified victim of identity theft has requested that you and/or your organization be notified of their victim status.

Please contact us at the above address or call toll free 1-888-880-0240 if you have any questions.

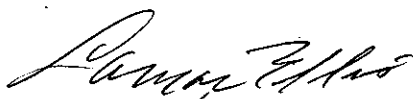
Sincerely,

  
BUD WILFORD, Program Manager  
Information Expedite Program

For **BILL LOCKYER**  
Attorney General

dos

cc: Victim

  
10/26/16

*Chambers 7070192*



Case No. 8:16-bk-13520-SC

**LOCKYER**  
**of California**  
**Attorney General**

**DEPARTMENT OF JUSTICE**

**BUREAU OF CRIMINAL INFORMATION AND ANALYSIS**

P.O. BOX 903387

SACRAMENTO, CA 94203-3870

Facsimile: (916) [Sender's Fax]  
(916) [Sender's Telephone Number]

November 24, 2003

Lamar Ellis  
218 Lincoln Ave.  
Pomona CA 91767

RE: Identity Theft Registration

Dear Mr. Ellis:

This will verify that you have been registered as a victim of identity theft with the California Department of Justice pursuant to section 530.7 of the Penal Code. All individuals and/or entities which you have requested to be notified of your status as a victim of identity theft have been advised of your registration.

Your registration will remain a part of our records and available for access by authorized individuals and/or agencies which you designate. Should you wish that our agency notify additional individuals and/or agencies, you must advise us in writing using your password. Should you decide that you no longer wish the Department of Justice to record your status as a victim, you may request the purging of this information by sending a letter to us along with your password.

Please contact us if you have any questions at the above address or call us toll free at 1-888-880-0240.

Sincerely,

*Bud Wilford*  
BUD WILFORD, Program Manager  
Information Expedite Program

For **BILL LOCKYER**  
Attorney General

dos

*Pamela Ellis*  
*10/26/16*

**December 21, 2009**

**Clerk of the United States Bankruptcy Court  
For the Southern district of New York  
One Bowling Green  
New York, New York 10004**

**Re: Lamar Ellis written opposition Ref: Bankruptcy Case No: 08-1789**

I, Lamar Ellis, of Lamar Ellis Trust disagree with the "Trustee" December 11, 2009 determination Re: Case No. 008118 which indicates that Lamar Ellis Trust was being denied a claim to Bernard L. Madoff investment securities because the "Trustee" could not locate an account in Lamar Ellis Trust.

My disagreement is that the Trustee appeared not to take into account that there were other ways that Madoff could have ended up with stolen assets that he could have traded. This is exactly what was found to be the case as described by several law enforcement groups, including the FBI (see enclosed list of agencies) whom have been investigating Lamar Ellis' stolen assets since September, 2003 when first reported to the Pomona, CA police department. That law enforcement group, evidently working confidentially and incognito for much of the past seven years. The Lamar Ellis Trust was notified in late 2008 the group had located some of the Lamar Ellis Trust assets in the hands of Bernard L. Madoff and that his office had traded Lamar Ellis Trust assets through NASDAQ since 2005, while Madoff was the director of NASDAQ.

The enclosed page entitled "Confidential Report Guaranteed Tax Shelter Bearer Certificate" is the damaging piece of evidence against Madoff, showing he and others were unlawfully trading Lamar Ellis Trust assets.

Lastly, the Trustee did not take into account that the assets that Madoff and others stole from Lamar Ellis Trust and through NASDAQ were U.S. Government Guaranteed Instruments under Section 1045 Tentative IRC Refund, see enclosed 1045 instructions Re: that states that the U.S. Government is responsible to Lamar Ellis Trust for a refund of those stolen assets that ended up in the hands of Madoff and are now being contested in the U.S. Tax Court with Lamar Ellis as the petitioner, Docket No: 26342-09.

**Lamar Ellis  
1372 Fern Lake Avenue  
Brea, CA 92821**

**COMPLAINT**

**December 21, 2009**

**Clerk of the United States Bankruptcy Court  
For the Southern district of New York  
One Bowling Green  
New York, New York 10004**

**Re: Lamar Ellis written opposition Ref: Bankruptcy Case No: 08-1789**

I, Lamar Ellis, of Lamar Ellis Trust disagree with the "Trustee" December 11, 2009 determination Re: Case No. 008118 which indicates that Lamar Ellis Trust was being denied a claim to Bernard L. Madoff investment securities because the "Trustee" could not locate an account in Lamar Ellis Trust.

My disagreement is that the Trustee appeared not to take into account that there were other ways that Madoff could have ended up with stolen assets that he could have traded. This is exactly what was found to be the case as described by several law enforcement groups, including the FBI (see enclosed list of agencies) whom have been investigating Lamar Ellis' stolen assets since September, 2003 when first reported to the Pomona, CA police department. That law enforcement group, evidently working confidentially and incognito for much of the past seven years. The Lamar Ellis Trust was notified in late 2008 the group had located some of the Lamar Ellis Trust assets in the hands of Bernard L. Madoff and that his office had traded Lamar Ellis Trust assets through NASDAQ since 2005, while Madoff was the director of NASDAQ.

The enclosed page entitled "Confidential Report Guaranteed Tax Shelter Bearer Certificate" is the damaging piece of evidence against Madoff, showing he and others were unlawfully trading Lamar Ellis Trust assets.

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**Lamar Ellis  
1372 Fern Lake Avenue  
Brea, CA 92821**

**COMPLAINT**



section 664 of the Internal Revenue Code

**TRUST DECLARATION**

**FOR**

**DR. LAMAR ELLIS**

Employer identification number

95:7059679

**SECTION ONE**

**TRANSFER OF TRUST PROPERTY**

For the purpose of providing income to Lamar Ellis, during his lifetime, and also for the purpose of promoting the public health and welfare in the Jackson, Mississippi and surrounding area, I, Lamar Ellis of 1516 Everett Avenue, Jackson, MS 39204 (hereinafter "Trustor") hereby irrevocably create a trust fund comprised of the property described in Schedule A of this instrument, and do hereby convey, transfer and deliver said property, in trust, to the following persons: Danny L. Crotwell, Attorney-at-Law, 1252 Deposit Guaranty Plaza, Jackson, Mississippi 39201-2383 and, Lamar Ellis 1516 Everett Avenue, Jackson, MS 39204, who are hereby named as Co-Trustees. Said trust fund shall be known as the "Lamar Ellis Trust".

**SECTION TWO**

**MANAGEMENT OF TRUST FUNDS & PROPERTY**

The Trustees shall manage, invest and reinvest the trust fund, or parts of it to the extent permissible under the Mississippi Uniform Trustees Powers Act, Section 91-9-101, et seq. of the Mississippi Code of 1972, as amended, subject to the restrictions of this instrument, and shall receive and collect the income from the trust fund. The character, terms and nature of the investment and use of the trust fund shall be in the sole discretion of the Trustees and the Trustees shall use their best judgment in the management of the trust fund, and shall have the authority to employ third persons to advise them in making such decisions, and to pay reasonable compensation for such advice. The signature of either of the Trustees will be deemed sufficient to bind the Trust.

*Lamar Ellis*  
10/26/16  
ATTACHMENT

Case No. 8:16-bk-13520-SC

IN WITNESS WHEREOF, I, LAMAR ELLIS, have hereunto set my  
signature on, and declared this trust on this the 3 day of  
April, 1996.

LAMAR ELLIS, TRUSTOR

STATE OF CALIFORNIA  
COUNTY OF Los Angeles

PERSONALLY APPEARED BEFORE ME, the undersigned authority in  
and for the jurisdiction above stated, the within named Lamar  
Ellis, who stated and acknowledged to me that he did sign and  
deliver the above and foregoing instrument on the date and for the  
purposes therein stated.

GIVEN UNDER MY HAND AND OFFICIAL SEAL, this the 3 day of  
April, 1996.

NOTARY PUBLIC

MY COMMISSION EXPIRES:  
June 14, 1996



Grantor(s):

Grantee(s)

THIS INSTRUMENT PREPARED BY:  
Danny L. Crotwell, Esq.  
COURTNEY & CAMP  
210 E. Capitol St., Suite 1252  
Deposit Guaranty Plaza  
Jackson, MS 39201-2383  
(601) 948-2307

ATTACHMENT

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